

# **CLIENT ASSET INFORMATION**

**FALK, BARROT & ASSOCIATES, LLP  
865 LAUREL STREET, SUITE 4  
SAN CARLOS, CA 94070**

**MARRIED**

*Personal and Confidential*

Please list below the names, addresses and telephone numbers of the professionals who assist you in your planning. This office may contact the professionals you have listed and offer to provide them with information regarding estate and tax planning.

**My Name:** \_\_\_\_\_

**My certified Public Accountant:** \_\_\_\_\_

Address: \_\_\_\_\_

City/State/Zip: \_\_\_\_\_

Telephone: \_\_\_\_\_

Email \_\_\_\_\_

**My Certified Financial Planner:** \_\_\_\_\_

Address: \_\_\_\_\_

City/State/Zip: \_\_\_\_\_

Telephone: \_\_\_\_\_

Email \_\_\_\_\_

**My Life Insurance Professional:** \_\_\_\_\_

Address: \_\_\_\_\_

City/State/Zip: \_\_\_\_\_

Telephone \_\_\_\_\_

Email : \_\_\_\_\_

**My Banker:** \_\_\_\_\_

Address: \_\_\_\_\_

City/State/Zip: \_\_\_\_\_

Telephone: \_\_\_\_\_

Email \_\_\_\_\_

**My Retirement Plan Administrator:** \_\_\_\_\_

Address: \_\_\_\_\_

City/State/Zip: \_\_\_\_\_

Telephone: \_\_\_\_\_

**Other financial professional with whom I consult with:** \_\_\_\_\_

Address: \_\_\_\_\_

City/State/Zip: \_\_\_\_\_

Telephone: \_\_\_\_\_

# CLIENT ASSET INFORMATION

MARRIED

CLIENT ONE'S NAME: \_\_\_\_\_

CLIENT TWO'S NAME: \_\_\_\_\_

ADDRESS: \_\_\_\_\_

CITY: \_\_\_\_\_ STATE: \_\_\_\_\_ ZIP: \_\_\_\_\_

HOME PHONE: \_\_\_\_\_ CLIENT ONE'S CELL PHONE: \_\_\_\_\_

DAYTIME PHONE: \_\_\_\_\_ CLIENT TWO'S CELL PHONE: \_\_\_\_\_

## CASH ACCOUNTS

### CHECKING ACCOUNTS:

Please provide complete address information for each institution, or provide a copy of your latest bank statement.

NAME AND ADDRESS OF INSTITUTION	ACCOUNT NUMBER	OWNER(S)*	BALANCE
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____

\*Client One alone (C1), Client Two alone (C2), or both as Joint Tenants (JT), Tenants in Common (TC), Community Property (CP) or Trust (T)

### SAVINGS ACCOUNTS:

Please provide complete address information for each institution, or provide a copy of your latest bank statement.

NAME AND ADDRESS OF INSTITUTION	ACCOUNT NUMBER	OWNER(S)*	BALANCE
_____	_____	_____	\$ _____
_____			
_____			
_____	_____	_____	\$ _____
_____			
_____			
_____	_____	_____	\$ _____
_____			
_____			
_____	_____	_____	\$ _____
_____			
_____			

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**MONEY MARKET ACCOUNTS:**

Please provide complete address information for each institution, or provide a copy of your latest statement.

NAME AND ADDRESS OF INSTITUTION	ACCOUNT NUMBER	OWNER(S)*	BALANCE
_____	_____	_____	\$ _____
_____			
_____			
_____	_____	_____	\$ _____
_____			
_____			
_____	_____	_____	\$ _____
_____			
_____			

*\*Client One alone (C1), Client Two alone (C2), or both as Joint Tenants (JT), Tenants in Common (TC), Community Property (CP) or Trust (T)*



**BROKERAGE ACCOUNTS:**

Please list all accounts with brokerage firms that hold stock certificates, bonds, mutual funds, money market accounts and CDs for you. Please provide complete address information for the brokerage firm, or provide a copy of your latest statement.

NAME AND ADDRESS OF BROKERAGE	ACCOUNT NUMBER	OWNER(S)*	ACCOUNT VALUE
_____	_____	_____	\$ _____
_____			
_____			
_____	_____	_____	\$ _____
_____			
_____			
_____	_____	_____	\$ _____
_____			
_____			
_____	_____	_____	\$ _____
_____			
_____			

\*Client One alone (C1), Client Two alone (C2), or both as Joint Tenants (JT), Tenants in Common (TC), Community Property (CP) or Trust (T)

**STOCKS AND STOCK OPTIONS**

Please list all stock in publicly-traded corporations in which you hold the actual stock certificates (*this includes stock traded on an exchange or over the counter*). Enter the address to which notice of change of ownership should be directed.

If you have stock options, please print a statement of the stock option information and provide a copy.

**NOTE:** Stock owned in family or non-publicly-traded companies should be listed under the Business Interests section.

NAME OF STOCK AND ADDRESS FOR NOTICE	CERTIFICATE NUMBER	OWNER(S)*	FAIR MARKET VALUE
_____	_____	_____	\$ _____
_____	TYPE OF STOCK: _____	NUMBER OF SHARES: _____	
_____			
_____	_____	_____	\$ _____
_____	Type of Stock: _____	Number of Shares: _____	

**STOCKS AND STOCK OPTIONS (CONTINUED):**

Please list all stock in publicly-traded corporations in which you hold the actual stock certificates (*this includes stock traded on an exchange or over the counter*). Stock owned in family or non-publicly-traded companies should be listed under the Business Interests section. Enter the address to which notice of change of ownership should be directed.  
 If you have stock options, please print a statement of the stock option information and provide a copy.

NAME OF STOCK AND ADDRESS FOR NOTICE	CERTIFICATE NUMBER	OWNER(S)*	FAIR MARKET VALUE
_____	_____	_____	\$ _____
_____	TYPE OF STOCK: _____	NUMBER OF SHARES: _____	
_____	_____	_____	\$ _____
_____	TYPE OF STOCK: _____	NUMBER OF SHARES: _____	
_____	_____	_____	\$ _____
_____	TYPE OF STOCK: _____	NUMBER OF SHARES: _____	
_____	_____	_____	\$ _____
_____	TYPE OF STOCK: _____	NUMBER OF SHARES: _____	

\*Client One alone (C1), Client Two alone (C2), or both as Joint Tenants (JT), Tenants in Common (TC), Community Property (CP) or Trust (T)

**DIVIDEND REINVESTMENT:**

Please list all accounts in corporations in which you hold stock in dividend reinvestment accounts (*this includes stock traded on an exchange or over the counter*). Enter the address to which changes of ownership should be directed.

COMPANY NAME AND ADDRESS	ACCOUNT NUMBER	OWNER(S)*	FAIR MARKET VALUE
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____

\*Client One alone (C1), Client Two alone (C2), or both as Joint Tenants (JT), Tenants in Common (TC), Community Property (CP) or Trust (T)

**MUTUAL FUNDS:**

Please enter the complete address to which change of ownership should be directed, or provide a copy of your latest statement.

NAME OF FUND AND ADDRESS FOR NOTICE	ACCOUNT NUMBER	OWNER(S)*	FAIR MARKET VALUE
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____

\*Client One alone (C1), Client Two alone (C2), or both as Joint Tenants (JT), Tenants in Common (TC), Community Property (CP) or Trust (T)

**BONDS (CORPORATE AND MUNICIPAL):**

Please list all bonds where you hold the actual bond certificates. Please provide the complete address to which change of ownership should be directed, or provide a photocopy of your bond certificates.

NAME OF BOND AND ADDRESS FOR NOTICE	ACCOUNT NUMBER	OWNER(S)*	FACE VALUE
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____

\*Client One alone (C1), Client Two alone (C2), or both as Joint Tenants (JT), Tenants in Common (TC), Community Property (CP) or Trust (T)

**U.S. SAVINGS BONDS :**

TYPE OF BOND+	ISSUE DATE	SERIAL NUMBER	OWNER(S)*	FACE VALUE
_____	_____	_____	_____	\$ _____
_____	_____	_____	_____	\$ _____



\_\_\_\_\_ \$ \_\_\_\_\_  
 \_\_\_\_\_ \$ \_\_\_\_\_

\*Client One alone (C1), Client Two alone (C2), or both as Joint Tenants (JT), Tenants in Common (TC), Community Property (CP) or Trust (T)  
 +Series E (E), Series H (H), Savings Notes (SN)

**U. S. TREASURY DIRECT:**

Please list all U.S. Treasury Investments where your purchase was made through a Treasury Direct Account. If you have multiple accounts, please file Form PD5178 to consolidate these accounts prior to transferring to your Revocable Trust.

ACCOUNT NAME	ACCOUNT NUMBER	OWNER(S)*	FACE VALUE
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____

\*Client One alone (C1), Client Two alone (C2), or both as Joint Tenants (JT), Tenants in Common (TC), Community Property (CP) or Trust (T)

**BEARER BONDS:**

TYPE OF BOND	LOCATION	OWNER(S)*	FACE VALUE
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____

\*Client One alone (C1), Client Two alone (C2), or both as Joint Tenants (JT), Tenants in Common (TC), Community Property (CP) or Trust (T)

**PARTNERSHIP INTERESTS**

**LIMITED PARTNERSHIPS:**

For each limited partnership, list all your general and/or limited partnership interests. Please provide complete address information for notices to the Partnership for change of ownership.

PARTNERSHIP NAME AND ADDRESS	GENERAL PARTNER	LIMITED PARTNER	OWNER(S)*	VALUE
_____	_____%	_____%	_____	\$ _____

_____			
_____			
_____	_____ %	_____ %	_____ \$
_____			
_____			
_____	_____ %	_____ %	_____ \$
_____			
_____			

\*Client One alone (C1), Client Two alone (C2), or both as Joint Tenants (JT), Tenants in Common (TC), Community Property (CP) or Trust (T)

**GENERAL PARTNERSHIPS:**

For each general partnership, list your partnership interests. Please provide complete address information for notices to the Partnership for change of ownership.

PARTNERSHIP NAME AND ADDRESS	GENERAL PARTNER	OWNER(S)*	VALUE
_____	_____ %	_____	\$ _____
_____			
_____			
_____	_____ %	_____	\$ _____
_____			
_____			
_____	_____ %	_____	_____
_____			

Client One alone (C1), Client Two alone (C2), or both as Joint Tenants (JT), Tenants in Common (TC), Tenants by Entireties (TE) or Community Property (CP)

**BUSINESS INTERESTS**

**CORPORATE BUSINESS INTERESTS:**

Please provide complete address information for notices to the business for change of ownership.

COMPANY NAME AND ADDRESS	NUMBER OF SHARES	PERCENTAGE OWNERSHIP	BUY/SELL+	OWNER(S)*	VALUE
_____	_____	_____%	_____	_____	\$ _____
_____					
_____					
_____	_____	_____%	_____	_____	\$ _____
_____					
_____					
_____	_____	_____%	_____	_____	\$ _____
_____					
_____					
_____	_____	_____%	_____	_____	\$ _____
_____					
_____					

\*Client One alone (C1), Client Two alone (C2), or both as Joint Tenants (JT), Tenants in Common (TC), Community Property (CP) or Trust (T)  
 +Please indicate if there is a Buy/Sell Agreement

**SOLE PROPRIETORSHIPS:**

NAME OF BUSINESS	DESCRIPTION OF BUSINESS	OWNER(S)*	VALUE
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____

\*Client One alone (C1), Client Two alone (C2), or both as Joint Tenants (JT), Tenants in Common (TC), Community Property (CP) or Trust (T)

**REAL PROPERTY INTERESTS**

Please list all real property that you own, including Time Shares or Oil and Gas Interests for which you have a deed. (Land or buildings owned inside a formal partnership should be listed under the partnership section). If you have Trust Deed(s), please enter those in the "Mortgages and Deeds of Trust" section on the following pages. Please provide a copy of each deed with legal descriptions of property or land sale contract, and the tax bill, to real property you own.

ADDRESS AND/OR GENERAL DESCRIPTION	DEED TYPE+	OWNER(S)*	LOANS	FAIR MARKET VALUE
_____	_____	_____	\$ _____	\$ _____
_____	_____	_____	\$ _____	\$ _____
_____	_____	_____	\$ _____	\$ _____
_____	_____	_____	\$ _____	\$ _____
_____	_____	_____	\$ _____	\$ _____
_____	_____	_____	\$ _____	\$ _____
_____	_____	_____	\$ _____	\$ _____
_____	_____	_____	\$ _____	\$ _____

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\*Client One alone (C1), Client Two alone (C2), or both as Joint Tenants (JT), Tenants in Common (TC), Community Property (CP) or Trust (T)  
 +Type of Deed: Grant Deed (G), Warranty Deed (W), Quitclaim Deed (Q), Land Sale Contract (L)

**OIL AND GAS INTERESTS:**

Please list all leases, overriding royalty, fee mineral estate, working interest, pooling agreement, etc. Use the "Real Property Interests" section for all oil and gas interests for which you hold a deed. Provide copies of title for each oil and gas interest.

DESCRIPTION, AND OIL/GAS LESEE NAME AND ADDRESS	OWNER(S)*	ESTIMATED VALUE
_____	_____	\$ _____
_____	_____	\$ _____
_____	_____	\$ _____
_____	_____	\$ _____
_____	_____	\$ _____

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\*Client One alone (C1), Client Two alone (C2), or both as Joint Tenants (JT), Tenants in Common (TC), Community Property (CP) or Trust (T)

**MORTGAGES AND DEEDS OF TRUST:**

List all notes owed to you and secured by an interest in real estate. Please provide copies of each mortgage and/or deed of trust.

NAME AND ADDRESS OF DEBTOR	DATE OF NOTE	OWED TO*	CURRENT BALANCE OWED
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____

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**LEASES:**

Please list all real estate leases in which you are the lessor. This does not include houses, condominiums, or apartments you are leasing on a month-to-month basis.

ADDRESS OF PROPERTY OR PROPERTY DESCRIPTION	NAME AND ADDRESS OF LESSEE	OWNER(S)*	ANNUAL RENTS
_____	_____	_____	\$ _____
_____	_____	_____	
_____	_____	_____	
_____	_____	_____	\$ _____
_____	_____	_____	
_____	_____	_____	

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**TIME SHARES:**

Please list all time share interests for which you do not have a deed, and provide a copy of ownership documents. Please list the Time Shares for which you have a deed in the "Real Property Interests" section above. Enter the address to which change of ownership should be directed.

PROPERTY NAME AND ADDRESS	DEVELOPMENT OWNER(S)	OWNER(S)*	VALUE
_____	_____	_____	\$ _____
_____	_____	_____	
_____	_____	_____	
_____	_____	_____	\$ _____
_____	_____	_____	
_____	_____	_____	

*\*Client One alone (C1), Client Two alone (C2), or both as Joint Tenants (JT), Tenants in Common (TC), Community Property (CP) or Trust (T)*

**NOTES RECEIVABLE**

If the note is secured by a Real Property Interest, please list the real property in the "Real Property Interests" section above.

NAME AND ADDRESS OF DEBTOR	DATE OF NOTE	OWNER(S)*	NOTE AMOUNT
_____	_____	_____	\$ _____
_____			
_____			
_____	_____	_____	\$ _____
_____			
_____			
_____	_____	_____	\$ _____
_____			
_____			
_____	_____	_____	\$ _____
_____			
_____			

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*\*Client One alone (C1), Client Two alone (C2), or both as Joint Tenants (JT), Tenants in Common (TC), Community Property (CP) or Trust (T)*

**LIFE INSURANCE**

Company: \_\_\_\_\_ Policy Number: \_\_\_\_\_  
Address: \_\_\_\_\_ City, State, Zip: \_\_\_\_\_  
Insured: \_\_\_\_\_ Type\*: \_\_\_\_\_ Face Amount: \$ \_\_\_\_\_  
Owner: \_\_\_\_\_ Cash Value: \$ \_\_\_\_\_  
Primary Beneficiary: \_\_\_\_\_ Secondary Beneficiary: \_\_\_\_\_  
*\* Term (T), Whole Life (WL), Universal Life (UL), Split Dollar (SD), Group Life (GL)*

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Company: \_\_\_\_\_ Policy Number: \_\_\_\_\_  
Address: \_\_\_\_\_ City, State, Zip: \_\_\_\_\_  
Insured: \_\_\_\_\_ Type\*: \_\_\_\_\_ Face Amount: \$ \_\_\_\_\_  
Owner: \_\_\_\_\_ Cash Value: \$ \_\_\_\_\_  
Primary Beneficiary: \_\_\_\_\_ Secondary Beneficiary: \_\_\_\_\_  
*\* Term (T), Whole Life (WL), Universal Life (UL), Split Dollar (SD), Group Life (GL)*

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Company: \_\_\_\_\_ Policy Number: \_\_\_\_\_  
Address: \_\_\_\_\_ City, State, Zip: \_\_\_\_\_  
Insured: \_\_\_\_\_ Type\*: \_\_\_\_\_ Face Amount: \$ \_\_\_\_\_  
Owner: \_\_\_\_\_ Cash Value: \$ \_\_\_\_\_  
Primary Beneficiary: \_\_\_\_\_ Secondary Beneficiary: \_\_\_\_\_  
*\* Term (T), Whole Life (WL), Universal Life (UL), Split Dollar (SD), Group Life (GL)*

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Company: \_\_\_\_\_ Policy Number: \_\_\_\_\_  
Address: \_\_\_\_\_ City, State, Zip: \_\_\_\_\_  
Insured: \_\_\_\_\_ Type\*: \_\_\_\_\_ Face Amount: \$ \_\_\_\_\_  
Owner: \_\_\_\_\_ Cash Value: \$ \_\_\_\_\_  
Primary Beneficiary: \_\_\_\_\_ Secondary Beneficiary: \_\_\_\_\_  
*\* Term (T), Whole Life (WL), Universal Life (UL), Split Dollar (SD), Group Life (GL)*

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Company: \_\_\_\_\_ Policy Number: \_\_\_\_\_  
Address: \_\_\_\_\_ City, State, Zip: \_\_\_\_\_  
Insured: \_\_\_\_\_ Type\*: \_\_\_\_\_ Face Amount: \$ \_\_\_\_\_  
Owner: \_\_\_\_\_ Cash Value: \$ \_\_\_\_\_  
Primary Beneficiary: \_\_\_\_\_ Secondary Beneficiary: \_\_\_\_\_  
*\* Term (T), Whole Life (WL), Universal Life (UL), Split Dollar (SD), Group Life (GL)*

**RETIREMENT PLANS**

**INVESTMENT RETIREMENT ACCOUNTS (IRAS):**

COMPANY NAME AND ADDRESS FOR NOTICE	OWNER(S)*	ACCOUNT NUMBER & DEATH BENEFICIARY	VALUE
_____	_____	_____	\$ _____
_____	_____	_____	
_____	_____	_____	
_____	_____	_____	\$ _____
_____	_____	_____	
_____	_____	_____	
_____	_____	_____	\$ _____
_____	_____	_____	
_____	_____	_____	
_____	_____	_____	\$ _____
_____	_____	_____	
_____	_____	_____	
_____	_____	_____	\$ _____
_____	_____	_____	
_____	_____	_____	

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**ANNUITIES:**

Administrator: \_\_\_\_\_ Contract Number: \_\_\_\_\_  
 Address: \_\_\_\_\_ City, State, Zip: \_\_\_\_\_  
 Owner/Annuitant: \_\_\_\_\_ Type\*: \_\_\_\_\_ Annuity Amount: \$ \_\_\_\_\_  
 Lifetime Beneficiary: \_\_\_\_\_ Death Beneficiary: \_\_\_\_\_  
*\* Immediate Annuity (I), Deferred Annuity (D)*

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Company: \_\_\_\_\_ Contract Number: \_\_\_\_\_  
 Address: \_\_\_\_\_ City, State, Zip: \_\_\_\_\_  
 Owner/Annuitant: \_\_\_\_\_ Type\*: \_\_\_\_\_ Annuity Amount: \$ \_\_\_\_\_  
 Lifetime Beneficiary: \_\_\_\_\_ Death Beneficiary: \_\_\_\_\_  
*\* Immediate Annuity (I), Deferred Annuity (D)*



**QUALIFIED PLANS (401(K), 403(B) PENSION PLANS):**

COMPANY NAME AND ADDRESS	TYPE+	OWNER(S)*	DEATH BENEFICIARY	% VESTED	VALUE
_____	_____	_____	_____	_____ % \$	_____
_____	_____	_____	_____	_____ % \$	_____
_____	_____	_____	_____	_____ % \$	_____
_____	_____	_____	_____	_____ % \$	_____
_____	_____	_____	_____	_____ % \$	_____
_____	_____	_____	_____	_____ % \$	_____
_____	_____	_____	_____	_____ % \$	_____
_____	_____	_____	_____	_____ % \$	_____
_____	_____	_____	_____	_____ % \$	_____
_____	_____	_____	_____	_____ % \$	_____
_____	_____	_____	_____	_____ % \$	_____

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 +Pension (P), Profit Sharing (PS), Keogh (K), Other (O)

**INTELLECTUAL PROPERTY INTERESTS**

Please list all REGISTERED copyright, trademark, patent and royalty interests. If you have not registered any of these interests, please see the "Informational Items" section at the end of this booklet.

**NOTE:** If you have licensed or assigned any rights to your registered intellectual properties, please discuss these items with the attorney before transferring them into your trust. Provide copies of any license or assignment agreements you have signed.

BRIEF DESCRIPTION	TYPE+	CERTIFICATE NUMBER	CERTIFICATE DATE	OWNER(S)*
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____

\*Client One alone (C1), Client Two alone (C2), or both as Joint Tenants (JT), Tenants in Common (TC), Community Property (CP) or Trust (T)  
 +Registered Copyright (C), Registered Trademark (T), Registered Patent (P), or Royalty Agreement (R). No Certificate # Required for Royalty Agreement.

**LAWSUIT JUDGMENTS**

Please list all judgments where you have been awarded money damages in a court proceeding. Enter the name and address of the judgment debtor.

CASE NUMBER	COURT	OWNER(S)*	JUDGMENT DEBTOR	JUDGMENT
_____	_____	_____	_____	\$ _____
<b>STATE &amp; COUNTY:</b>	_____	_____	_____	
_____	_____	_____	_____	\$ _____
<b>STATE &amp; COUNTY:</b>	_____	_____	_____	

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**ASSETS WITH REGISTERED TITLE**

DESCRIPTION (YEAR, MAKE AND MODEL)	TYPE+	REGISTRATION NUMBER	OWNER(S)*	NET MARKET VALUE
_____	_____	_____	_____	\$ _____
_____	_____	_____	_____	\$ _____
_____	_____	_____	_____	\$ _____

\*Client One alone (C1), Client Two alone (C2), or both as Joint Tenants (JT), Tenants in Common (TC), Community Property (CP) or Trust (T)  
+ Vehicle (V), Pleasure Boat registered with State Department of Motor Vehicles (PB), Large Vessel registered with Coast Guard (LV), Aircraft (AC), Mobile Home registered with State Department of Motor Vehicles (MHS), or Mobile Home registered with HUD (MHH), Registered Farm Equipment/Implements (FE)

**MISCELLANEOUS ASSETS**

**PERSONAL PROPERTY:**

Please list all valuable art, jewelry, furniture, collections or other personal items with an individual value exceeding \$5,000 or for which you have an appraisal.

PROPERTY DESCRIPTION	APPRAISAL+	OWNER(S)*	VALUE
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____

\*Client One alone (C1), Client Two alone (C2), or both as Joint Tenants (JT), Tenants in Common (TC), Community Property (CP) or Trust (T)  
+Written appraisal of value exists (Y), No written appraisal exists (N).

**BURIAL PLOTS:**

Please list all burial plots or contracts with cemeteries for burial, and include the address to which change of ownership should be directed. If you have a Deed to the actual land, it should be listed in the "Real Property Interests" section above.

NAME AND ADDRESS OF BURIAL PLOT LOCATION	TYPE+	OWNER(S)*	VALUE
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____

\*Client One alone (C1), Client Two alone (C2), or both as Joint Tenants (JT), Tenants in Common (TC), Community Property (CP) or Trust (T)  
 +Owns the actual land (O), Contract with cemetery (C).

**BURIAL DEEDS:**

Please list all burial deeds, and include the address to which change of ownership should be directed.

NAME AND ADDRESS OF BURIAL DEED	TYPE+	OWNER(S)*	VALUE
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____
_____	_____	_____	\$ _____

\*Client One alone (H), Client Two alone (C2), or both as Joint Tenants (JT), Tenants in Common (TC), Tenants by Entireties (TE) or Community Property (CP)

**MEMBERSHIPS (CLUBS):**

Please list all memberships that have monetary value and permit your interest to be transferred. Enter the address to which change of ownership should be directed.

DESCRIPTION AND ADDRESS	OWNER(S)*	VALUE
_____	_____	\$ _____
_____	_____	\$ _____
_____	_____	\$ _____

\*Client One alone (C1), Client Two alone (C2), or both as Joint Tenants (JT), Tenants in Common (TC), Community Property (CP) or Trust (T)

**OTHER ASSETS:**

<b>DESCRIPTION</b>	<b>OWNER(S)*</b>	<b>VALUE</b>
_____	_____	\$ _____
_____	_____	\$ _____
_____	_____	\$ _____
_____	_____	\$ _____
_____	_____	\$ _____
_____	_____	\$ _____
_____	_____	\$ _____
_____	_____	\$ _____
_____	_____	\$ _____
_____	_____	\$ _____

*\*Client One alone (C1), Client Two alone (C2), or both as Joint Tenants (JT), Tenants in Common (TC), Community Property (CP) or Trust (T)*

**INFORMATIONAL ITEMS:**

Please answer the following questions, which will allow us to provide you with specific information about your estate plan.

- 1. DO YOU OWN A PROFESSIONAL CORPORATION?     YES     NO**
- 2. DO YOU OWN ANY UNREGISTERED COPYRIGHTS, TRADEMARKS, PATENTS OR ROYALTY AGREEMENTS?     YES     NO**
- 3. DO YOU OWN ANY FARM OR RACING ANIMALS THAT ARE UNREGISTERED?     YES     NO**
- 4. DO YOU HAVE ANY CIVIL LAWSUITS PENDING AGAINST YOU?     YES     NO**

**ESTATE VALUE SUMMARY**

ASSET DESCRIPTION	GROSS FAIR MARKET VALUE	LOANS, LIENS & ENCUMBRANCES	NET FAIR MARKET VALUE	OWNERS*
<b>CASH ACCOUNTS</b>				
Checking Accounts				
Savings Accounts				
Money Market Accounts				
Certificates of Deposit				
<b>INVESTMENT SECURITIES</b>				
Brokerage Accounts				
Stocks				
Dividend Reinvestment				
Mutual Funds				
Bonds (Corporate and Municipal)				
U.S. Savings Bonds				
U.S. Treasury Direct				
Bearer Bonds				
<b>PARTNERSHIP INTERESTS</b>				
Limited Partnerships				
General Partnerships				
<b>BUSINESS INTERESTS</b>				
Corporate Business Interests				
Sole Proprietorships				
<b>REAL PROPERTY INTERESTS</b>				
Deeds				
Oil and Gas Interests				
Mortgages and Deeds of Trust				
Leases				
Time Shares				
<b>NOTES RECEIVABLE</b>				

\*Client One alone (C1), Client Two alone (C2), or both as Joint Tenants (JT), Tenants in Common (TC), Community Property (CP) or Trust (T)

ASSET DESCRIPTION	GROSS FAIR MARKET VALUE	LOANS, LIENS & ENCUMBRANCES	NET FAIR MARKET VALUE	OWNERS*
LIFE INSURANCE (FACE VALUE)				
RETIREMENT PLANS				
IRAs				
ANNUITIES				
QUALIFIED (PENSION) PLANS				
INTELLECTUAL PROPERTY INTERESTS				
Registered Copyrights				
Registered Trademarks				
Registered Patents				
Royalty Agreements				
LAWSUIT JUDGMENTS				
ASSETS WITH REGISTERED TITLE				
Vehicles				
Pleasure Boats				
Large Vessels				
Aircraft				
Mobile Homes				
Farm Equipment				
MISCELLANEOUS ASSETS				
Personal Property				
Burial Plots				
Memberships				
Other Assets				
<b>TOTAL</b>				

\*Client One alone (C1), Client Two alone (C2), or both as Joint Tenants (JT), Tenants in Common (TC), Community Property (CP) or Trust (T)